

Mathematics. — *On the fundamental theorem of algebra.* (Second communication)¹⁾. By J. G. VAN DER CORPUT.

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§ 2. *Proof of the transitivity.*

Definition. A polynomial is called simple if it is relatively prime to its derivative.

Lemma 1. *Every divisor $D(X)$ of a simple polynomial $F(X)$ is simple, for a common divisor of $D(X)$ and $\frac{dD}{dX}$ would occur both in $F(X) = D(X)U(X)$ and in $\frac{dF}{dX} = U\frac{dD}{dX} + D\frac{dU}{dX}$, which is impossible.*

Lemma 2. *The product of simple relatively prime polynomials is simple.*

It is sufficient to give a proof for the product of two polynomials. Be $F(X) = U(X)V(X)$. Then $\frac{dF}{dX} = U\frac{dV}{dX} + V\frac{dU}{dX}$ is relatively prime both to $U(X)$ and $V(X)$, hence also to $U(X)V(X)$.

Lemma 3. *Two polynomials, the product of which is simple, are simple and relatively prime.*

In fact, a common divisor of $U(X)$ and $\frac{dU}{dX}$ (or $V(x)$) occurs both in $F(X) = U(X)V(X)$ and in $\frac{dF}{dX} = U\frac{dV}{dX} + V\frac{dU}{dX}$, which is impossible, if $U(X)V(X)$ is simple.

Lemma 4. *Any polynomial $F(X)$ may be written in the form*

$$F(X) = F_1^{\alpha_1} \dots F_\lambda^{\alpha_\lambda},$$

where the exponents are positive and $F_1(X), \dots, F_\lambda(X)$ are simple, relatively prime polynomials.

Proof. The theorem is obvious if $F(X)$ is simple. If not, put $F(X) = D(X)F^*(X)$, where D is the greatest common divisor of $F(X)$ and $\frac{dF}{dX}$. In this case the degree of both $D(X)$ and $F^*(X)$ is less than the degree of $F(X)$. We may suppose, that for these polynomials the

¹⁾ Compare Proc. Kon. Ned. Akad. v. Wetensch., Amsterdam, 49, 722—732 (1946) and Indagationes Mathematicae 4 (1946).

proof is already given. Consequently we may write

$$F = F_1^{\alpha_1} \dots F_\lambda^{\alpha_\lambda}, \dots \dots \dots (6)$$

where the exponents α_i are natural numbers and $F_1 \dots F_\lambda$ are simple polynomials. We may even assume that these polynomials are relatively prime. For, if for instance $F_1 = UV$ and $F_2 = UW$, then U, V and W , being divisors of simple polynomials, are simple and

$$F = U^{\alpha_1 + \alpha_2} V^{\alpha_1} W^{\alpha_2} F_3^{\alpha_3} \dots F_\lambda^{\alpha_\lambda}.$$

Continuing in this manner we finally find $F(X)$ written in a form, similar to (6) with simple, relatively prime polynomials.

Lemma 5. *If a polynomial F is written in the form (6), where F_1, \dots, F_λ are simple and relatively prime and the exponents are positive, then $F_1(X) \dots F_\lambda(X)$ is the characteristic divisor of $F(X)$.*

Proof. $\frac{dF}{dX}$ is the sum of λ terms; all but one of these terms are divisible by $F_1^{\alpha_1}$. The exceptional term possesses the form $\alpha_1 \frac{F}{F_1}$ and is divisible by $F_1^{\alpha_1 - 1}$ but has further no common factor with F_1 . The derivative $\frac{dF}{dX}$ is therefore divisible by $F_1^{\alpha_1 - 1}$ and has no further common factor with F_1 . A similar argument is valid for the polynomials F_2, \dots, F_λ , so that $G = F_1^{\alpha_1 - 1} \dots F_\lambda^{\alpha_\lambda - 1}$ is the greatest common divisor of F and $\frac{dF}{dX}$. Hence it follows, that $F_1 \dots F_\lambda$ is equal to the characteristic divisor.

Corollaries. The characteristic divisor $F^*(X)$ of a polynomial $F(X)$ is simple, being the product of simple, relatively prime polynomials.

$F(X)$ divides $(F^*(X))^\mu$, where μ denotes the degree of $F(X)$, since each $\alpha_v \leq \mu$.

If $D(X)$ is a divisor of $F(X)$, then $D^*(X)$ is a divisor of $F^*(X)$. In fact, we may write $D(X) = F_1^{\beta_1} \dots F_\lambda^{\beta_\lambda}$ where $0 \leq \beta_v \leq \alpha_v$, so that the characteristic divisor $\prod_{\beta_v > 0} F_v$ of $D(X)$ is a divisor of the characteristic divisor $\prod_{v=1}^{\lambda} F_v$ of $F(X)$.

Lemma 6. *If U is the greatest common divisor of F and G , then U^* is the greatest common divisor of F^* and G^* .*

Proof. U is a divisor of both F and G . By corollary of lemma 5 the polynomial U^* is a divisor of both F^* and G^* , hence also of their greatest common divisor.

The greatest common divisor D of F^* and G^* is a divisor of U . Hence the characteristic divisor D^* of D is a divisor of U^* . But D is

a divisor of the simple polynomial $F^*(X)$, so that it is also simple, consequently identical with its own characteristic divisor. Hence D is a divisor of U^* . Consequently U^* and D are identical.

Lemma 7. *If q denotes a positive element of Ω , then every interval can be divided in a finite number of subintervals, each with length $\leq q$.*

In fact, Ω being Archimedeanly ordered, a natural number ϱ exists, such that $\varrho > \frac{b-a}{q}$, where a and b denote the endpoints of the interval.

The points $a + \frac{\sigma(b-a)}{q}$ where σ runs through $0, 1, \dots, \varrho$, divide the given interval in subintervals, each with length $\leq q$.

Lemma 8. *To any two relatively prime polynomials $F(X)$ and $G(X)$ corresponds a positive element $p = p(F, G) \leq e$ of Ω , satisfying the inequality*

$$|F(X)| + |G(X)| > p$$

for all elements X of Ω .

Proof. We can restrict ourselves to an interval Γ outside which $|F(X)| > e$. Since $F(X)$ and $G(X)$ are relatively prime, the unit element of Ω can be written in the form

$$e = U(X)F(X) + V(X)G(X),$$

where $U(X)$ and $V(X)$ denote appropriate polynomials. The absolute value of $U(X)$ and $V(X)$ in Γ is less than $\frac{e}{p}$, where p is a suitable positive element of Ω . Then we have for all X of Ω in Γ

$$e < \frac{e}{p} (|F(X)| + |G(X)|),$$

which implies the required result.

Lemma 9. *To any two relatively prime polynomials $F(X)$ and $G(X)$ corresponds a positive element $q = q(F, G)$ of Ω , such that $G(X)$ is definite in every interval with length $\leq q$, where $F(X)$ changes sign, and $F(X)$ is definite in every interval with length $\leq q$, where $G(X)$ changes sign ("definite" means: always positive or always negative).*

Proof. We may restrict ourselves to a bounded interval Δ . Consider the elements $p(F^*, G)$ and $p(F, G^*)$ of lemma 8. Be p_1 the smaller of these two elements. Ω contains a positive element $m \leq e$, such that each derivative of $F^*(X) = H(X)$, of $F(X)$, of $G^*(X)$ and of $G(X)$ has in Δ an absolute value $\leq m$. To prove that the element $q = \frac{p_1}{4m}$ possesses the required property we consider a subinterval Δ_1 of Δ with length $\leq q$, where $F(X)$ changes sign. For any two elements x and

$x+h$ of Δ_1 we have $|h| \leqq q \leqq e$, hence, if μ denotes the degree of F ,

$$\left. \begin{aligned} |H(x+h) - H(x)| &= |h| \left| \frac{H'(x)}{1!} + \dots + \frac{h^{\mu-1} H^{(\mu)}(x)}{\mu!} \right| \\ &\leqq |h| m \left(\frac{1}{1!} + \frac{1}{2!} + \dots + \frac{1}{\mu!} \right) < 2|h| m \leqq 2qm = \frac{p_1}{2} \end{aligned} \right\} \quad (7)$$

Similarly

$$|G(x+h) - G(x)| < \frac{p_1}{2} \dots \dots \dots (8)$$

Since $F(X)$ changes sign in Δ_1 , this interval contains two elements u and v with $F^*(v) \leqq 0 \leqq F^*(u)$.

Hence from (7) we infer

$$0 \leqq F^*(u) \leqq F^*(u) - F^*(v) < \frac{p_1}{2}.$$

From the preceding lemma it follows then $|G(u)| > \frac{p_1}{2}$. From (8) we find for each element x of Δ_1

$$G(x) > G(u) - \frac{p_1}{2} > 0, \text{ if } G(u) > \frac{p_1}{2},$$

and

$$G(x) < G(u) + \frac{p_1}{2} < 0, \text{ if } G(u) < -\frac{p_1}{2}.$$

Hence $G(X)$ is definite in Δ_1 .

The second part of the theorem follows by exchanging F and G .

Definition. Two polynomials $F(X)$ and $G(X)$ are said to be equivalent in an interval Φ , if two polynomials P and Q , both definite in Φ , exist, such that $F^*P = G^*Q$.

Lemma 10. *If a polynomial $F(X)$ changes sign in an interval Φ , then every multiple $G(X)$ of $F(X)$ changes also sign in Φ . If further is given, that $G(X)$ changes sign at most once in Φ , then $F(X)$ and $G(X)$ are equivalent in Φ (and therefore both change sign exactly once in Φ).*

Proof. G^* is a multiple of F^* . Be $G^*(X) = F^*(X)U(X)$. Since $F^*(X)$ changes sign in Φ , by lemma 9 and 3 we can divide Φ in subintervals, each with length $\leqq q$, where q denotes the element $q(F^*, U)$ of lemma 9. In at least one of these subintervals, say Φ_1 , the polynomial $F^*(X)$ changes sign. In virtue of the choice of q the polynomial $U(X)$ is definite in Φ_1 , hence $G^*(X) = F^*(X)U(X)$ changes sign in Φ_1 .

Suppose that $G(X)$ changes sign only once in Φ . If $U(X)$ were not definite in Φ , in one of the constructed subintervals, say Φ_2 , the polynomial $U(X)$ would change sign and there $F^*(X)$ would be definite.

Hence $G^*(X) = F^*(X)U(X)$ would change sign in Φ_2 . Since $U(X)$ is definite in Φ_1 , but not in Φ_2 , the intervals Φ_1 and Φ_2 would be different and $G^*(X)$ would change sign in Φ more than once. This not being the case, we find $U(X)$ to be definite in Φ and therefore $F(X)$ and $G(X)$ to be equivalent in Φ .

Remark. From $(I, C) = (\Delta, D)$ it follows, that (C, D) changes sign at most once in (I, Δ) , for (C, D) changes sign there and has a multiple $D(X)$, which changes sign at most once in (I, Δ) .

Lemma 11. *If $F(X)$ and $G(X)$ are equivalent in Φ , they are also equivalent to their greatest common divisor in Φ .*

Proof. Put $F^* = U^*P$ and $G^* = U^*Q$, where U^* is the greatest common divisor of F^* and G^* , so that P and Q are relatively prime. By lemma 6 the polynomial U^* is the characteristic divisor of the greatest common divisor U of F and G . If $P(X)$ is not definite in Φ , then a subinterval of Φ exists, in which $P(X)$ changes sign and which has a length $< q(U^*, P)$ and $< q(P, Q)$, where q denotes the element of Ω , introduced in lemma 9. By this lemma U^* and Q are definite in this subinterval; hence also G^* , while F^* changes sign in that subinterval. This is impossible, since $F(X)$ and $G(X)$ are equivalent in Φ .

Proof of the transitivity. From $(I, C) = (\Delta, D)$ and $(\Delta, D) = (A, L)$ we must deduce $(I, C) = (A, L)$. The greatest common divisor (C, D) of C and D changes sign in (I, Δ) and possesses a multiple $D(X)$, which changes sign at most once in that interval. From lemma 10 these polynomials are equivalent in (I, Δ) and both change sign there. Similarly (D, L) and D are equivalent in (Δ, A) and both change sign there. By lemma 11 the polynomial $D(X)$ is in (I, Δ, A) equivalent to the greatest common divisor (C, D, L) of (C, D) and (D, L) . In (I, Δ, A) the polynomial $D(X)$ changes sign, for otherwise $D(X)$ would change sign both in (I, Δ) and (Δ, A) outside (I, Δ, A) , consequently more than once in Δ , which is impossible. Hence the polynomial (C, D, L) which is equivalent with $D(X)$, changes sign in (I, Δ, A) , and therefore also its multiple (C, L) by lemma 10. Then (C, L) changes sign also in (I, A) .

§ 3. Definition of sum and product.

Consider two polynomials

$$F(X) = f_0 + f_1 X + \dots + f_\mu X^\mu \text{ and } G(X) = g_0 + g_1 X + \dots + g_\nu X^\nu,$$

where $f_\mu = g_\nu = e$. The products $\Pi(X - Y_\varrho - Z_\sigma)$ and $\Pi(X - Y_\varrho Z_\sigma)$, where ϱ runs through $1, \dots, \mu$ and where σ runs through $1, \dots, \nu$, and where X, Y_ϱ and Z_σ denote indeterminates, may be written as integral rational functions of X , of the elementary symmetric functions of Y_1, \dots, Y_μ and of the elementary symmetric functions of Z_1, \dots, Z_ν . If we replace

the elementary symmetric functions $\Sigma Y_1, \Sigma Y_1 Y_2, \dots, Y_1 Y_2 \dots Y_\mu, \Sigma Z_1, \Sigma Z_1 Z_2, \dots, Z_1 Z_2 \dots Z_\nu$, respectively by $-f_{\mu-1}, f_{\mu-2}, \dots, (-1)^\mu f_0, -g_{\nu-1}, g_{\nu-2}, \dots, (-1)^\nu g_0$, these products become polynomials in X , which I denote by $F(X) \dagger G(X)$ and $F(X) \times G(X)$.

If we put $G(X) = G_1(X) G_2(X)$, the left side of the identity

$$\prod_{\varrho=1}^{\mu} G(X - Y_{\varrho}) = \prod_{\varrho=1}^{\mu} G_1(X - Y_{\varrho}) \cdot \prod_{\varrho=1}^{\mu} G_2(X - Y_{\varrho})$$

becomes $F(X) \dagger G(X)$, hence

$$F(X) \dagger G(X) = (F(X) \dagger G_1(X)) (F(X) \dagger G_2(X)).$$

Herefrom it follows: If $G_1(X)$ is a divisor of $G(X)$, then $F(X) \dagger G_1(X)$ is a divisor of $F(X) \dagger G(X)$. In the same way we get: If also $F_1(X)$ is a divisor of $F(X)$, then $F_1(X) \dagger G_1(X)$ is a divisor of $F(X) \dagger G_1(X)$, hence of $F(X) \dagger G(X)$. In particular:

Lemma 12. *If u is a root of $F(X)$ and v is a root of $G(X)$, then $(X-u) \dagger (X-v) = X-u-v$ is a divisor of $F(X) \dagger G(X)$, hence $u+v$ is a root of $F(X) \dagger G(X)$.*

Lemma 13. *A polynomial $F(X)$, the derivative of which is always $\cong 0$ in an interval Φ , satisfies the inequality $F(u) \cong F(v)$ for all elements u and v of Φ with $u \cong v$.*

Proof. The second and higher derivatives of $F(X)$ in Φ are absolutely less than a suitably chosen element m of Ω . Divide the interval with endpoints u and v into σ equal parts, each of length $l = \frac{v-u}{\sigma} \cong e$. For the endpoints a and b ($a < b$) of such a part we have

$$F(b) - F(a) = \frac{b-a}{1!} F'(a) + \frac{(b-a)^2}{2!} F''(a) + \dots + \frac{(b-a)^\mu F^{(\mu)}(a)}{\mu!},$$

where μ denotes the degree of F . From $F'(a) \cong 0$ it follows

$$F(b) - F(a) \cong -m l^2 \left(\frac{1}{2!} + \dots + \frac{1}{\mu!} \right) \cong -m l^2,$$

and adding we obtain

$$F(v) - F(u) \cong -m l^2 \sigma = -\frac{m(v-u)^2}{\sigma}.$$

Since the number σ may be taken arbitrary large, we find $F(v) - F(u) \cong 0$, for otherwise the number σ could be taken as large as to contradict the inequality.

Remark. From this lemma it follows immediately: If a polynomial has a definite derivative in an interval, the polynomial changes sign there at most once.

Lemma 14. *If the polynomial $C(X)$ changes sign in Γ and the polynomial $D(X)$ in Δ , then the polynomial $C(X) \dagger D(X)$ changes sign in $\Gamma \dagger \Delta$.*

Proof. Suppose first that C and D are simple. Put $C(X) \dagger D(X) = F(X)$. If a and b denote the endpoints of $\Gamma \dagger \Delta$, we may assume without loss of generality $F^*(a) \neq 0$ and $F^*(b) \neq 0$, for otherwise the lemma is evident. Ω contains a positive element m , such that the second and higher derivatives of $F^*(X)$ are all absolutely $\leq m$ in $\Gamma \dagger \Delta$. Choose in Ω a positive element l , satisfying the inequalities

$$l \leq \epsilon; l \leq \frac{p}{4m}; l \leq \frac{2}{p} F^*(a); l \leq \frac{2}{p} F^*(b), \dots \dots (9)$$

(where p denotes the element $p \left(F^*, \frac{dF^*}{dX} \right)$, introduced in lemma 8),

such that in the interval with endpoints a and $a + l$ the inequality $|F^*(x)| > \frac{1}{2} |F^*(a)|$ is valid and in the interval with endpoints $b - l$ and b similarly $|F^*(x)| > \frac{1}{2} |F^*(b)|$. From lemma 5, corollary we know $(F^*)^\mu = FG$, where μ denotes the degree of F and G is a suitable polynomial. Ω contains a positive element g , such that in $\Gamma \dagger \Delta$ the polynomial G possesses an absolute value $\leq g$.

If s and t are arbitrary elements of Ω , then

$$H(X, s, t) = \{C(X) - s\} \dagger \{D(X) - t\} - \{C(X) \dagger D(X)\}$$

is a polynomial in X, s and t . In each term of H either a factor s or a factor t occurs, for $H(X, 0, 0)$ is identically equal to 0. Hence a positive element k of Ω exists, such that from $|s| < k$ and $|t| < k$ it follows

$$|H(w, s, t)| < \frac{1}{g} \left(\frac{pl}{4} \right)^\mu, \dots \dots \dots (10)$$

for all elements w of $\Gamma \dagger \Delta$. Finally we choose the positive element h of Ω such that in every subinterval of Γ with length $\leq h$, the oscillation of $C(X)$ is less than k , and that also in each subinterval of Δ with length $\leq h$, the oscillation of $D(X)$ is less than k . Divide Γ and Δ into subintervals, each of length $\leq h$. In at least one of these subintervals of Γ , say Γ_1 , the polynomial $C(X)$ changes sign and in at least one of the subintervals of Δ , say Δ_1 , the polynomial $D(X)$ changes sign.

Then the interval Γ_1 contains two elements u and u_1 with $C(u_1) \leq 0 \leq C(u)$. Since the oscillation of $C(X)$ in Γ_1 is less than k , it follows

$$0 \leq C(u) \leq C(u) - C(u_1) < k.$$

Similarly Δ_1 contains a point v with $0 \leq D(v) < k$. Hence inequality (10) is valid for $w = u + v, s = C(u)$ and $t = D(v)$. Then u is a root of $C(X) - s$ and v is a root of $D(X) - t$, hence $w = u + v$ is a root of $(C(X) - s) \dagger (D(X) - t)$ by lemma 12. Therefore

$$|F(w)| = |C(w) \dagger D(w)| = |H(w, s, t)| < \frac{1}{g} \left(\frac{pl}{4} \right)^\mu.$$

Hence

$$|F^*(w)|^\mu = |F(w) G(w)| < \left(\frac{pl}{4}\right)^\mu.$$

Consequently

$$|F^*(w)| < \frac{pl}{4} < \frac{1}{2}p. \dots \dots \dots (11)$$

From the definition of $p = p\left(F^*, \frac{dF^*}{dX}\right)$ it follows

$$\left|\frac{dF^*(w)}{dw}\right| > \frac{1}{2}p. \dots \dots \dots (12)$$

From (11) and (9) we infer

$$|F^*(w)| < \frac{1}{2}|F^*(a)| \quad \text{and} \quad |F^*(w)| < \frac{1}{2}|F^*(b)|.$$

Therefore it is impossible that w lies either in the interval with endpoints a and $a+l$ or in the interval with endpoints $b-l$ and b . As w lies in the interval with endpoints a and b , it lies in the interval with endpoints $a+l$ and $b-l$. The interval $\Gamma \pm \Delta$ contains consequently the elements $w-l$ and $w+l$.

Since the second and higher derivatives of F^* are absolutely $\leq m$ in $\Gamma \pm \Delta$ and since $l \leq e$, the Taylor development gives

$$\left|F^*(w \mp l) - F^*(w) \pm l \frac{dF^*(w)}{dw}\right| \leq ml^2 \left(\frac{1}{2!} + \frac{1}{3!} + \dots + \frac{1}{\mu!}\right) < ml^2 \leq \frac{1}{4}pl,$$

hence by (11) we get

$$\left|F^*(w \pm l) \mp l \frac{dF^*(w)}{dw}\right| < \frac{1}{2}pl.$$

From (12) we infer that $F^*(w-l)$ and $F^*(w+l)$ have different sign; consequently $F(X)$ changes sign in $\Gamma \pm \Delta$.

Suppose now that C and D are not both simple. Then C^* and D^* , and therefore $C^* \pm D^*$ change sign resp. in Γ, Δ and $\Gamma \pm \Delta$; consequently the multiple $C \pm D$ of $C^* \pm D^*$ changes sign also in $\Gamma \pm \Delta$.

Now we pass to the definition of the sum of $\gamma = (\Gamma, C)$ and $\delta = (\Delta, D)$. Put $F = C \pm D$. A subinterval Γ' of Γ and a subinterval Δ' of Δ can be found, both with length $\leq \frac{1}{2}q$, where q denotes the element $q\left(F^*, \frac{dF^*}{dX}\right)$, introduced in lemma 9, such that C changes sign in Γ' and D in Δ' . In the interval $\Gamma' \pm \Delta'$ with length $\leq q$ the polynomial F changes sign by lemma 14. By lemma 9 the derivative $\frac{dF^*}{dX}$ is definite throughout that interval; therefore F^* changes sign there at most once. So we have proved the existence of subintervals Γ' of Γ and Δ' of Δ ,

such that C changes sign once in Γ' , D in Δ' and $C + D$ in $\Gamma' + \Delta'$.
Now we may put

$$\gamma + \delta = (\Gamma' + \Delta', C + D),$$

if we show that the couple $(\Gamma' + \Delta', C + D)$ is uniquely determined.
Suppose

$$(\Gamma, C) = (\Gamma_1, C_1) \quad \text{and} \quad (\Delta, D) = (\Delta_1, D_1).$$

We have to prove

$$(\Gamma' + \Delta', C + D) = (\Gamma'_1 + \Delta'_1, C_1 + D_1),$$

where Γ' , Δ' , Γ'_1 and Δ'_1 are subintervals respectively of Γ , Δ , Γ_1 and Δ_1 , such that C changes sign only once in Γ' , D in Δ' , C_1 in Γ'_1 , D_1 in Δ'_1 , $F = C + D$ in $\Phi = \Gamma' + \Delta'$ and $F_1 = C_1 + D_1$ in $\Phi_1 = \Gamma'_1 + \Delta'_1$. We must prove that the greatest common divisor L of $F = C + D$ and $F_1 = C_1 + D_1$ changes sign in the common part A of Φ and Φ_1 . Since $S = (C, C_1) + (D, D_1)$ is a divisor both of $F = C + D$ and $F_1 = C_1 + D_1$, the polynomial S is also a divisor of their greatest common divisor L . By assumption the couples $(\Gamma, C) = (\Gamma' C)$ and $(\Gamma_1, C_1) = (\Gamma'_1, C_1)$ are equal, so that (C, C_1) changes sign in (Γ', Γ'_1) and similarly (D, D_1) in (Δ', Δ'_1) . By lemma 14 S changes sign in $\Sigma = (\Gamma', \Gamma'_1) + (\Delta', \Delta'_1)$. By lemma 10 the multiple L of S changes sign in Σ , hence certainly in A , which contains Σ ; in fact each point w of Σ may be written in the form $u + v$, where u lies both in Γ' and Γ'_1 , and v lies both in Δ' and Δ'_1 , hence w lies both in $\Gamma' + \Delta'$ and $\Gamma'_1 + \Delta'_1$, consequently also in their common part A . This establishes the proof.

In a similar way we define the product of two couples.