Mathematics. — A new characterisation of spheres of even dimension 1). By HSIEN-CHUNG WANG. (Communicated by Prof. L. E. J. BROUWER.)

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It is the aim of this paper to give a new characterisation of spheres of even dimension. We shall show that a simply-connected manifold is an even sphere if and only if its EULER characteristic is equal to two and it admits transitively a compact transformation group R. In the course of the proof, all the possible groups R are eventually determined. We find that R is either the orthogonal group or the CARTAN's exceptional group G_2 , and that G_2 presents only when the manifold is six-dimensional. In an interesting paper [5], MONTGOMERY and SAMELSON have shown that the only compact transitive transformation group of a sphere S^{2n} of 2ndimension is the orthogonal group when $2n \ge 114$. By an entirely different method, we fill the gap they left. Furthermore, as an incident result of our discussions, we obtain the first four homotopy groups of the exceptional group G_2 .

1. The chief weapon used in this paper is a finite group associated with a connected compact LIE group. This finite group has been fully discussed by various authors. In this section, we shall give a brief sketch of STIEFEL's results [7, §§ 2, 3] which will be used later.

Let R be a connected compact LIE group of dimension r and rank l. All the maximal toral subgroups of R have the same dimension l. Choose one of them, say T. Each normaliser a of T induces an automorphism φ_a : $t \to ata^{-1}$ ($t \in T$) of T. All such automorphisms form a finite group which. up to an isomorphism, depends only on R and not on the particular choice of T. We shall denote it by $\Phi(R)$.

Let U(e) be a small neighbourhood of the identity e of R such that it is covered by the canonical coordinates $\xi_1, \xi_2, \ldots, \xi_r$ of the first kind. These coordinates define an *r*-dimensional tangent euclidean space E^r of R. Each inner automorphism

$$x \rightarrow b \, x \, b^{-1} \qquad (x \, \varepsilon \, R)$$

of R indues a linear transformation S_b of the tangent space E^r which we call the adjoint linear transformation. Now let us consider the maximal toral subgroup T. Its tangent space E^l is a linear subspace of E^r and is *l*-dimensional. Evidently, the adjoint linear transformation S_a of each normaliser a of T leaves E^l invariant, and hence induces a linear trans-

¹) The present paper is the revised form of the second part of the author's Ph. D. thesis accepted by Manchester University, 1948.

formation φ'_a of E^l . All these φ'_a form a finite linear group $\Phi'(R)$ isomorphic with $\Phi(R)$.

In the linear space E^l , there are *m* pencils of parallel hyperplanes, called singular hyperplanes, where

$$r=2m+l. \quad . \quad . \quad . \quad . \quad . \quad . \quad (1.1)$$

Through the origin there pass exactly *m* singular hyperplanes [3, p. 67]. Each transformation φ' of $\Phi'(R)$ carries singular hyperplanes to singular hyperplanes. Furthermore, we have [7, p. 363].

(1.2) Let P be a point in E^{l} . If P is left unaltered by a transformation $\varphi' \varepsilon \Phi'(R)$ other than the identity transformation, then there exists a singular hyperplane passing through both P and the origin.

It is well-known that compact simple LIE groups fall into four main classes A_k , B_k , C_k , D_k (k = 1, 2, ...) and five exceptional cases G_2 , F_4 , E_6 , E_7 , E_8 where the lower index denotes the rank. In fact, each of the above represents a class of locally isomorphic connected groups among which one is simply-connected and one without centre. In what follows, we shall occasionally use the term "an A_k (B_k , C_k , ..., E_8)" which simply means any group of the class A_k (B_k , C_k , ..., E_8). The finite groups Φ associated with these simple groups have been completely determined.

For any connected compact LIE group R, let us denote by o(R) the order of the finite group $\Phi(R)$. Then [9]

o (toral group) = 1, o
$$(A_k) = (k+1)!$$
, o $(D_k) = k! \cdot 2^{k-1}$,
o $(B_k) = o(C_k) = k! \cdot 2^k$, o $(G_2) = 12$, o $(F_4) = 3^2 \cdot 2^7$,
o $(E_6) = 6! \cdot 3 \cdot 2^6$, o $(E_7) = 9! \cdot 2^3$, o $(E_8) = 10! \cdot 3 \cdot 2^6$.
(1.3)

2. A topological space W is called homogeneous if it admits transitively a topological group R of transformations. Let q be a point of W. All the transformations of R which leave q invariant form a closed subgroup Lof R called the *isotropic subgroup*. The space W can be regarded as the space R/L of left cosets. R is said to be *effective* (almost effective) on Wif only the identity (only a finite number of elements) of R preserves every point of W. Suppose that R is not effective on W. Then the elements of Rwhich leave unaltered each point of W form an invariant subgroup I of R. The factor group R/I then acts effectively and transitively on W. Thus without loss of generality of the homogeneous space, we can assume that it admits an effective transformation group.

(2.1) Let W be a homogeneous manifold of a connected compact group. If W has non-vanishing EULER characteristic, then it admits, transitively and almost effectively, a connected, simply-connected compact semi-simple LIE group R.

Proof. By hypothesis, W admits, effectively and transitively, a connected compact group R'. Since W is locally euclidean and R' is

compact, it follows that R' must be a LIE group [10, § 7]. Moreover, the EULER characteristic of W does not vanish so that R' is simi-simple and has no centre [8, (2, 1), (2, 2)]²). Therefore the universal covering group

R of R' is simply-connected, compact and semi-simple and has only a finite number of centres [6, p. 271]. This group R acts transitively on W in the natural manner. It is easy to see that an element b of R leaves unaltered every point of W if and only if b is a centre of R. Hence R acts almost effectively on W. This group R possesses all the required properties. Proposition (2.1) is therefore proved.

Theorem I. Let W be a simply-connected manifold with EULER characteristic equal to one. If W admits transitively a connected compact transformation group, then W is a single point.

Proof. By (2.1) there exists a connected, simply-connected compact semi-simple LIE group R acting on W transitively and almost effectively. Therefore, W can be regarded as a coset space R/L where L is a closed subgroup of R. From the simply-connectedness of both R and W, it follows that L is connected [1, § 31].

Since the EULER characteristic $\chi(W) = \chi(R/L) = 1 \neq 0$, R and L have the same rank l [4]. Hence a maximal toral subgroup T of L is, at the same time, a maximal toral subgroup of R. Let E^l be the tangent space of T. We have two finite linear groups $\Phi'(L)$, $\Phi'(R)$ of transformations of the space E^l . From the definition of the group Φ' and the fact $L \subset R$, it follows at once

$$\Phi'(L) \supset \Phi'(R). \quad \ldots \quad \ldots \quad \ldots \quad \ldots \quad \ldots \quad (2.2)$$

Concerning the EULER characteristic $\chi(R/L)$ and the orders o(L), o(R) of the finite group $\Phi'(L)$, $\Phi'(R)$, we have the formula [8, (1.1)]

$$\chi(R/L) = o(R)/o(L).$$

Our assumption $\chi(W) = \chi(R/L) = 1$ then implies that o(R) = o(L), and then (2.2) tells us that

$$\Phi'(R) = \Phi'(L)$$
. (2.3)

Now we have two connected compact LIE groups L and R. Either of them has its own singular hyperplanes in the same euclidean space E^{l} . From the fact that $L \subseteq R$ we can see immediately that a singular element of L is also a singular element of R. Thus singular hyperplanes of L are also singular hyperplanes of R. Let us denote, respectively, by

$$\pi_1, \pi_2, \ldots, \pi_m$$

and

$$\pi_1, \pi_2, \ldots, \pi_m, \theta_1, \theta_2, \ldots, \theta_h,$$

²⁾ A group is said to have no centre if it possesses no other centre than the identity.

the singular hyperplanes of L and R which pass through the origin. Then from (1.1), it follows that

$$r' = 2m + l, r = 2(m + h) + l \dots (2.4)$$

where $r' = \dim L$, $r = \dim R$.

We are going to show h = 0. Suppose that $h \neq 0$. Then there exists a singular hyperplane θ_1 of R which is not a singular hyperplane of L and which passes through the origin. Choose a point P on θ_1 such that it does not lie on any of the hyperplanes $\pi_1, \pi_2, ..., \pi_m$. Since R is semi-simple, $\Phi'(R)$ contains the reflection φ' about the singular hyperplane θ_1 [7, p. 364]. On account of (2.3), φ' also belongs to $\Phi'(L)$. This φ' evidently differs from the identity transformation, and moreover it leaves the point P invariant. Proposition (1.2) then assures the existence of a singular hyperplane of L passing through both P and the origin. However, by the choice of P, none of the π 's passes through P. This leads to a contradiction. Hence h = 0 and (2.4) implies r = r'. In other words, R and L have the same dimension. As R is connected, L and R coincide. Thus W = R/L consists of only one point. This proves Theorem I.

3. Our main theorem can be stated as follows:

Theorem II. Let W be a simply-connected manifold with EULER characteristic equal to two. If W admits, effectively and transitively, a compact connected group R, then W is a sphere of even dimension, and R is either the orthogonal group or the exceptional simple LIE group G_2 of CARTAN's class G and rank 2. G_2 presents only when W is six-dimensional.

In order to prove this theorem, we shall first establish a series of lemmas.

Lemma 1. Let W be a simply-connected manifold with EULER characteristic equal to a prime number p. Then the connected compact group R which can possibly act on W transitively and effectively must be a simple LIE group.

Proof. Let L denote the isotropic subgroup of R. Then W can be regarded as the coset space R/L. Since $\chi(W) = p \neq 0$, R has no centre [8, (2.1)]. If R is not simple, then R can be expressed as the direct product $R' \times R''$ of two connected compact semi-simple LIE groups none of which consists of only one element [8, (2.2)]. Then W is homeomorphic to the topological product

$$(R'/L') \times (R''/L'')$$

of two coset spaces where $L' = R' \cap L$, $L'' = R'' \cap L$ [8, (2.3)]. From the well-known KÜNNETH's formula, we have

$$p = \chi(W) = \chi(R'/L') \cdot \chi(R''/L'').$$

However, p is a prime number so that one of the factors in the right hand side of the above equality must be unity. We can assume that $\chi(R'/L') = 1$. Since W is simply-connected, R'/L' must be simply-connected as well.

Moreover, R'/L' admits transitively the compact LIE group R'. From Theorem I, R'/L' is a single point. Hence R' = L'.

Now we return to our original homogeneous space W = R/L. Since R' is an invariant subgroup of R and $R' = L' \subset L$, it follows that each element of R' leaves unaltered every point of W. R' has more than one elements. This contradicts our assumption that R is effective on W. Hence R is simple.

Lemma 2. Let R_1 and R_2 be two locally isomorphic connected compact LIE groups. Then $\Phi(R_1) \approx \Phi(R_2)$.

Proof. If R_1 and R_2 are semi-simple, this lemma is well-known. In the general case, we know that there exists a connected compact LIE group R such that [6, Theorem 87]

$$R_1 = R/N_1, \qquad R_2 = R/N_2$$

where N_1 and N_2 are discrete subgroups of R belonging to the centre. Hence we have two natural homomorphic onto-mappings

$$f_1: R \rightarrow R_1, \quad f_2: R \rightarrow R_2$$

with kernels N_1 and N_2 respectively. Let T be a maximal total subgroup of R. N_1 and N_2 being contained in the centre of R, are contained in T. From HOPF's result [3, 1.6], it follows that

$$\Phi(R) \approx \Phi(R_1), \ \Phi(R) \approx \Phi(R_2),$$

and hence $\Phi(R_1) \approx \Phi(R_2)$.

Lemma 3. Let L be a connected compact LIE group locally isomorphic to the direct product

$$L_1 \times L_2 \times \ldots \times L_s$$

of connected compact LIE groups L_j (j = 1, 2, ..., s). Then

$$o(L) = o(L_1) \cdot o(L_2) \dots o(L_s).$$

Proof. This is a direct consequence of Lemma 2 and [8, (14.1)].

4. In this section and the next, we shall study some properties of the CARTAN's exceptional groups.

Lemma 4. The CARTAN's exceptional group F_4 , E_6 , E_7 , E_8 cannot act transitively on a simply-connected manifold with EULER characteristic equal to two.

Proof. Let us first consider the case E_8 . Suppose W to be a simplyconnected manifold with EULER characteristic equal to two. If there exists an E_8 acting on W transitively, then the universal covering group \tilde{E}_8 of E_8 acts on W in the natural manner. This group \tilde{E}_8 is simply-connected and is also of the class E_8 . Let L be the isotropic of subgroup \tilde{E}_8 . We can regard W as the coset space \widetilde{E}_8/L . From the simply-connectedness of both W and \widetilde{E}_8 , it follows that L is connected [1, § 31]. Therefore [8, (1.1)]

$$2 = \chi(W) = \chi(\widetilde{E}_8/L) = o(\widetilde{E}_8)/o(L).$$

The table (1.3) tells us that $o(\widetilde{E}_8) = 10! \cdot 3 \cdot 2^6$. Hence we have

$$o(L) = 10! \cdot 3 \cdot 2^5$$
. (4.1)

The isotropic subgroup L, being closed in \widetilde{E}_{3} , form a compact LIE group. Hence it is locally isomorphic with a direct product of the form

$$L_1 \times L_2 \times \ldots \times L_s$$
 (4.2)

where L_j is either a toral group or a compact simple LIE group. Let us denote by l_j the rank of L_j . Then

$$l_1+l_2+\ldots+l_s=\mathrm{rank}$$
 of L.

Since $\chi(\widetilde{E}_8/L) \neq 0$, L has the same rank 8 as the group \widetilde{E}_8 , and hence

$$l_1 + l_2 + \ldots + l_s = 8...$$
 (4.3)

Furthermore, we have from Lemma 3 that $o(L) = o(L_1) \cdot o(L_2) \dots o(L_s)$. Equality (4.1) then implies

$$o(L_1) \cdot o(L_2) \dots o(L_s) = 10/3 \cdot 2^5 \dots (4.4)$$

Evidently L_j cannot be an E_8 , and from (4.3) it follows that $l_j \leq 8$. Moreover, one of the $o(L_j)$ must be divisible by 7. For definiteness, let it be $o(L_1)$. Table (1.3) then tells us that L_1 is one of the following groups

$$A_8, A_7, A_6, B_8, B_7, C_8, C_7, D_8, D_7, E_7.$$

Hence $o(L_1)$ is not divisible by 25. One of the factors $o(L_2), ..., o(L_s)$ must be divisible by 5. However, $l_i \leq 8 - l_1 \leq 2$ (j = 2, 3, ..., s). It follows from (1.3) that this is impossible.

From the above discussions, we know that an E_8 cannot act transitively on W. Thus the lemma is proved for the case E_8 . The other cases can be treated similarly. Q. E. D.

Lemma 5. Let W be a simply-connected space with EULER characteristic equal to two. If it admits transitively the exceptional group G_2 , then the isotropic subgroup must be an A_2 .

Proof. Let L denote the isotropic subgroup. By similar reasoning as above, we know that L is of rank 2 and o(L) = 6. (1.3) and Lemma 3 tell us that L is an A_2 .

5. In the preceding section, we know that there is possibly a simplyconnected homogeneous space of G_2 with EULER characteristic equal to two. Now we shall show that this space is the sphere of six dimension.

Lemma 6. Up to an automorphism of G_2 , there is one and only one subgroup L of G_2 such that L is an A_2 .

Proof. Noting the fact that G_2 is simply-connected [7, p. 378], we can prove this lemma by reasoning analogous to, though far simpler than, that the author used in [8, Part II]. The details are omitted.

Lemma 7. The six-dimensional sphere S^6 is a homogeneous space of the group G_2 , and is the only simply-connected homogeneous space of G_2 which has EULER characteristic equal to two.

Proof. The uniqueness follows directly from Lemma 6 and Lemma 7. We need only prove the first part of our lemma. It is well-known that G_2 can be embedded in the group Γ_6 of all proper orthogonal matrices with 7 rows and columns. Therefore, we can assume $G_2 \subset \Gamma_6$.

Let S^6 be the unit sphere in the seven-dimensional euclidean space. Γ_6 acts transitively and effectively on S^6 . G_2 , being a subgroup of Γ_6 , acts on S^6 in the natural manner. We shall show that G_2 is transitive on S^6 . For this purpose, let us consider the orbits of G_2 [10, p. 194]. Let *m* be the maximum of the dimension of all the orbits. There is a point *x* of S^6 such that the orbit $G_2(x)$ is *m*-dimensional. Since each orbit is connected and G_2 acts effectively on S^6 , we have

$$0 < m \leq 6$$
 (5.1)

The group G_2 acts transitively on $G_2(x)$ so that $G_2(x)$ can be regarded as a coset space G_2/L where L is a closed subgroup of G_2 . Since G_2 is a simple LIE group without centre [7, p. 378], it has no proper invariant subgroup. Furthermore,

dim. $G_2 - \dim L = \dim G_2(x) = m > 0.$

Thus $L \neq G_2$ and thus G_2 acts effectively on $G_2(x)$ [1, § 18]. It follows then [10, p. 202]

$$14 = \dim G_2 \leq m (m+1)/2...$$
 (5.2)

Combining (5.1) and (5.2), we know that m is either equal to 5 or equal to 6.

Suppose m = 5. Then [5, p. 465] all orbits of G_2 are 5-dimensional except for two orbits of lower dimension. Let these two exceptional orbits be $G_2(y_1)$ and $G_2(y_2)$ $(y_1, y_2 \in S^6)$. Then $G_2(y_i)$ must be of zero dimension, for otherwise by using similar reasoning as above we can show that its dimension is either 5 or 6. Hence $G_2(y_i) = y_i$. In other words, y_i (i = 1, 2) is a fixed point of G_2 . All the matrices of Γ_6 leaving y_1 unaltered form an orthogonal group Γ_5 of order 6. Hence

$$G_2 \supset \Gamma_5$$
. (5.3)

However, Γ_5 cannot have any proper subgroup of dimension greater than ten [5, p. 463]. Hence (5.3) is absurd. The integer *m* cannot be equal to 5, and therefore m = 6. It follows then that $G_2(x) = S^6$. In other words, S^6 is really a homogeneous space of G_2 . This completes the proof.

6. In a recent paper [8], the author has determined all the spaces which have non-vanishing EULER characteristic and admit transitively a classical

group (i.e., simple group of the four main classes). According as the group is an A_k , B_k , C_k , or D_k , the space is called an elementary $\chi_{A^{-}}$, $\chi_{B^{-}}$, $\chi_{C^{-}}$ or χ_D -space respectively, and we denote it by W_A , W_B , W_C or W_D . To each W_A (W_B , W_C , W_D) is associated a set Θ_A (Θ_B , Θ_C , Θ_D) of integers, called category [8, § 9], given as follows:

$$\Theta_A = \{e; a_1, a_2, \ldots, a_n\}, \ldots \ldots \ldots \ldots \ldots \ldots (6.1A)$$

$$\Theta_B = \{e; a_1, a_2, \ldots, a_n; d_1, d_2, \ldots, d_{m'}; b\}, \quad . \quad . \quad (6.1B)$$

$$\Theta_C = \{e; a_1, a_2, \ldots, a_u; c_1, c_2, \ldots, c_m\}, \ldots$$
 (6.1C)

$$\Theta_D = \{e; a_1, a_2, \ldots, a_n; d_1, d_2, \ldots, d_m\}$$
. (6.1D)

These categories Θ_A , Θ_B , Θ_C , Θ_D satisfy, respectively, the following conditions

$$e \ge n-1, \ a_{\alpha} \ge 2, \ e + \Sigma a_{\alpha} = l, \ \dots \ \dots \ \dots \ (6.2A)$$

$$e \ge n, \ a_{\alpha} \ge 2, \ d_{\beta} \ge 2, \ b \ge 0, \ e-n+\Sigma a_{\alpha} + \Sigma d_{\beta} = l, \ (6.2B)$$

$$e \ge n, \ a_{\alpha} \ge 2, \ c_{\beta} \ge 1, \ e-n+\Sigma a_{\alpha} + \Sigma c_{\beta} = l, \ \dots \ (6.2C)$$

$$e \ge n, \ a_{\alpha} \ge 2, \ d_{\beta} \ge 2, \ e-n+\Sigma a_{\alpha} + \Sigma d_{\beta} = l. \ \dots \ (6.2D)$$

where l denotes the rank of the group which the space admits. It has been shown that [8, § 13, Corollary 6]

(6.3) Two simply-connected elementary χ -spaces with the same category are homeomorphic.

Let W_A , W_B , W_C and W_D be simply-connected elementary χ -spaces of category Θ_A , Θ_B , Θ_C and Θ_D respectively. Concerning their EULER characteristic χ , we have the formulae

$$\chi(W_A) = \frac{(l+1)!}{a_1! a_2! \dots a_n!}$$

$$\chi(W_B) = \frac{2^{(l+m'-b-d_1-d_2-\dots-d_{m'})} l!}{a_1! \dots a_n! d_1! \dots d_{m'}! b!}$$

$$\chi(W_C) = \frac{2^{(-c_1-c_2-\dots-c_m)} l!}{a_1! \dots a_n! c_1! \dots c_m!}$$

$$\chi(W_D) = \frac{2^{(l+m-d_1-d_2-\dots-d_{m}-1)} l!}{a_1! \dots a_n! d_1! \dots d_m!}$$
(6.4)

From (6.2) and (6.4), it is easy to single out those spaces whose EULER characteristic is equal to two. In fact, we have

Lemma 8. Let W be a simply-connected space which has EULER characteristic equal to two and admits transitively and effectively a classical group R. Then W is homeomorphic with a sphere of even dimension, and R is the orthogonal group.

Proof. Since R is a classical group and $\chi(W) \neq 0$, W is an elementary $\chi_{A^{-}}, \chi_{B^{-}}, \chi_{C^{-}}$ or χ_{D} -space. Bearing in mind the simply-connectedness of W, we can easily see from (6.2) and (6.4) that R must be one of the following groups

$$A_1, C_1, C_2, B^l \ (l = 1, 2, ...).$$

However, it is well-known that $A_1 = C_1 = B_1$, $C_2 = B_2$ so that R is an B_l and W is an elementary χ_B -space. By hypothesis, R acts on W effectively. Hence R has no centre [8, (2.1)] and hence R is the group of all proper orthogonal matrices with 2l + 1 rows and columns. Moreover, (6.4) tells us that the category Θ_B of the space $W = B_l/L$ is specified as follows

$$e = b = n = 0, m' = 1, d_1 = l. \dots (6.5)$$

On the other hand, the 2*l*-dimensional sphere S^{2l} is an elementary $\chi_{B^{-1}}$ space B_l/D_l . From the very definition of category, it follows that the category of S^{2l} is also given by (6.5). On account of (6.3), W and S^{2l} are homeomorphic. The lemma is thus proved.

7. Proof of Theorem II. Let W be a simply-connected manifold admitting effectively and transitively a connected compact group R, and having EULER characteristic equal to two. From Lemma 1, we know that R is a connected compact simple LIE group. Lemma 4 tells us that R cannot be of the classes F_4 , E_6 , E_7 , E_8 . Hence R is either the exceptional group G_2 or a classical group.

If R is the group G_2 , it follows from Lemma 7 that W is the sixdimensional sphere. In the other alternative, Lemma 8 tells us that W is a sphere of even dimension and R the orthogonal group. Theorem II is therefore proved.

On account of Lemmas 5 and 7, we know that S^6 is homeomorphic with the coset space G_2/A_2 . From this fact and the well-known homotopy sequence, it follows immediately

Let $\pi_n(G_2)$ denote the nth homotopy group of the exceptional group G_2 . Then $\pi_3(G_2)$ is free cyclic and

$$\pi_1(G_2) = \pi_2(G_2) = \pi_4(G_2) = 0.$$

Furthermore, by using similar method as in the proof of Theorem II we can prove the following

Theorem III³). Let W be a simply-connected manifold with EULER characteristic equal to a prime number p > 2. If it admits transitively a compact group of transformations. Then W is either a complex projective space of 2(p-1) dimension, or a quaternion projective space of 4(p-1)

³) From a recent personal correspondence, the author learnt that some of these result are known to A. BOREL.

dimension or a 16-dimensional closed orientable manifold with POINCARE polynomial

$$1 + t^8 + t^{16}$$
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