

MATHEMATICS

A SYMMETRIC FORM OF GÖDEL'S THEOREM *)

BY

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It has been remarked, particularly in articles of MOSTOWSKI¹⁾, that recursively enumerable sets behave surprisingly similarly to analytic sets and general recursive sets to Borel sets. It is a theorem of LUSIN that two disjoint analytic sets can always be separated by a Borel set, i.e. this Borel set contains one of the given analytic sets and is disjoint from the other²⁾. We shall construct two disjoint recursively enumerable sets C_0 and C_1 which cannot be separated by a general recursive set. This example shows that there is no exact parallelism between the two theories³⁾.

We actually establish the following property of the sets C_0 and C_1 , which is stronger constructively: Given any two disjoint recursively enumerable sets D_0 and D_1 such that $C_0 \subset D_0$ and $C_1 \subset D_1$, there can always be found a number f such that $f \in \overline{D_0 + D_1}$.

Let T_1 be the primitive recursive predicate so designated in a previous paper by the author⁴⁾, and let $(x)_i$ be the number of times x contains the $i + 1$ -st prime number as factor (0, if $x = 0$)⁵⁾. Let predicates W_0

*) Presented to the American Mathematical Society, October 29, 1949. The first paragraph of this note is taken essentially from a letter of MOSTOWSKI to the author, dated 6 June 1949. Cf. the concluding paragraph.

¹⁾ ANDRZEJ MOSTOWSKI, *On definable sets of positive integers*, *Fundamenta Mathematicae*, **34**, 81–112 (1946), and *On a set of integers not definable by means of one-quantifier predicates*, *Annales de la société polonaise de mathématique*, **21**, 114–119 (1948).

²⁾ See p. 52 of N. LUSIN, *Sur les ensembles analytiques*, *Fundamenta mathematicae*, **10**, 1–95 (1927); or CASIMIR KURATOWSKI, *Topologie I*, *Monografie Matematyczne*, Warsaw-Lwów 249 (1933).

³⁾ The example does not go against the parallelism between the theory of recursive predicates and quantifiers and the corresponding theory formulated by MOSTOWSKI 1946¹⁾ in terms similar to the theory of projective sets. In § 6 of MOSTOWSKI's paper it is shown that these theories are equivalent, unless we admit as the basic system S for his theory one which does not satisfy two recursivity conditions (R_1) and (R_2). All ordinary (constructive) formal systems for arithmetic satisfy these conditions.

⁴⁾ S. C. KLEENE, *Recursive predicates and quantifiers*, *Transactions of the American Mathematical Society*, **53**, 41–73 (1943).

⁵⁾ This $(x)_i$ is a primitive recursive function of x and i ; in the notation of S. C. KLEENE, *General recursive functions of natural numbers*, *Mathematische Annalen*, **112**, 727–742 (1936), no. 6, p. 732, $(x)_i = i + 1 \text{ Gl } x$.

and W_1 be defined thus,

$$\begin{aligned} W_0(x, y) &\equiv T_1((x)_1, x, y) \ \& \ (z) \{z \leq y \rightarrow \overline{T}_1((x)_0, x, z)\}, \\ W_1(x, y) &\equiv T_1((x)_0, x, y) \ \& \ (z) \{z \leq y \rightarrow \overline{T}_1((x)_1, x, z)\}, \end{aligned}$$

and the sets C_0 and C_1 as follows,

$$C_0 = \hat{x} (Ey) W_0(x, y), \quad C_1 = \hat{x} (Ey) W_1(x, y).$$

The predicates W_0 and W_1 are primitive recursive ⁶⁾; hence the sets C_0 and C_1 are recursively enumerable ⁷⁾. From $W_0(x, y_0)$ and $W_1(x, y_1)$ we can infer both $y_0 > y_1$ and $y_1 > y_0$; hence

$$(1) \quad \overline{(Ey) W_0(x, y) \ \& \ (Ey) W_1(x, y)},$$

i.e. C_0 and C_1 are disjoint.

Consider any two disjoint recursively enumerable sets D_0 and D_1 such that $C_0 \subset D_0$ and $C_1 \subset D_1$. We can write $D_0 = \hat{x} (Ey) R_0(x, y)$ and $D_1 = \hat{x} (Ey) R_1(x, y)$ with R_0 and R_1 recursive.

Now we show that there is a number f such that $\overline{f \varepsilon D_0 + D_1}$.

By the enumeration theorem for predicates of the form $(Ey) R(x, y)$ with R recursive ⁸⁾, there are numbers f_0 and f_1 such that, if we put $f = 2^{f_0} \cdot 3^{f_1}$, then

$$(2) \quad (Ey) R_0(x, y) \equiv (Ey) T_1(f_0, x, y) \equiv (Ey) T_1((f)_0, x, y),$$

$$(3) \quad (Ey) R_1(x, y) \equiv (Ey) T_1(f_1, x, y) \equiv (Ey) T_1((f)_1, x, y).$$

Assume: (a) $f \varepsilon D_0$, i.e. $(Ey) R_0(f, y)$. Then by (2): (b) $(Ey) T_1((f)_0, f, y)$. Also by (a) and the disjointness of D_0 and D_1 : (c) $\overline{f \varepsilon D_1}$, i.e. $\overline{(Ey) R_1(f, y)}$. Thence by (3), $\overline{(Ey) T_1((f)_1, f, y)}$; whence: (d) $(y) \overline{T}_1((f)_1, f, y)$. By (b) and (d), $(Ey) [T_1((f)_0, f, y) \ \& \ (z) \{z \leq y \rightarrow \overline{T}_1((f)_1, f, z)\}]$, i.e. $(Ey) W_1(f, y)$, i.e. $f \varepsilon C_1$. Since $C_1 \subset D_1$, therefore $f \varepsilon D_1$, contradicting (c). By reductio ad absurdum, therefore (a) is false; i.e.

$$(4) \quad \overline{f \varepsilon D_0}.$$

By a similar argument, or thence by the symmetry of the conditions on C_0 and D_0 to those on C_1 and D_1 ,

$$(5) \quad \overline{f \varepsilon D_1}.$$

Thus there is no separation of all natural numbers into two disjoint recursively enumerable sets D_0 and D_1 such that $C_0 \subset D_0$ and $C_1 \subset D_1$.

⁶⁾ See e.g. KURT GÖDEL, *Über formal unentscheidbare Sätze der Principia Mathematica und verwandter Systeme I*, Monatshefte für Mathematik und Physik, 38, 173–198 (1931), Theorems II and IV.

⁷⁾ KLEENE 1936 ⁵⁾ Theorem III. Members of the sets C_0 and C_1 are easily found; e.g. if we take $x = x$ & $y = y$ as the $R(x, y)$ in KLEENE 1943 ⁴⁾ Theorem I, then $(Ey) W_0(2^y \cdot 3^y, y)$ and $(Ey) W_1(2^y \cdot 3^y, y)$.

⁸⁾ KLEENE 1943 ⁴⁾ Theorem I.

This of course implies, and by the theorem for recursive predicates and quantifiers ⁹⁾ analogous to SOUSLIN's theorem for analytic and Borel sets ¹⁰⁾ is actually equivalent to, the statement that C_0 and C_1 cannot be separated by any general recursive set.

The root of this example is ROSSER's method ¹¹⁾ of weakening the hypothesis of ω -consistency to simple consistency for GÖDEL's proof of the existence of an undecidable proposition in a formal system containing arithmetic ¹²⁾. The author mentioned previously that ROSSER's form of GÖDEL's theorem (as well as the original form) can be brought under a general theorem on recursive predicates and quantifiers ¹³⁾. The present result is obtained by rearranging the argument to make it symmetrical between the proposition and its negation. A discussion of it from this standpoint is included in another manuscript by the author. Upon seeing that manuscript, MOSTOWSKI pointed out the contrast to a theorem holding for analytic and Borel sets.

⁹⁾ KLEENE 1943 ⁴⁾ Theorem V, or p. 290 of EMIL L. POST, *Recursively enumerable sets of positive integers and their decision problems*, Bulletin of the American Mathematical Society, **50**, 284—316 (1944), or MOSTOWSKI 1946 ¹⁾ 5.51. The present application is valid intuitionistically.

¹⁰⁾ M. SOUSLIN, *Sur une définition des ensembles mesurables B sans nombres transfinis*, Comptes Rendus hebdomadaires des séances de l'Académie des Sciences, Paris, **164**, 88—91 (1917), Theorem III; KURATOWSKI 1933 ²⁾ p. 251 Corollary 1.

¹¹⁾ BARKLEY ROSSER, *Extensions of some theorems of GÖDEL and CHURCH*, The Journal of Symbolic Logic, **1**, 87—91 (1936), Theorem II.

¹²⁾ GÖDEL 1931 ⁶⁾ Theorem VI.

¹³⁾ KLEENE 1943 ⁴⁾ p. 64.